

Large lower finite lattices with breadth three

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ROGICS'08

Background: ladders and breadth

3-ladders

Background

Critical points

$MA(\aleph_1;$
precaliber $\aleph_1)$

Morasses

- A lattice L with zero is **lower finite**, if $L \downarrow a := \{x \in L \mid x \leq a\}$ is finite for each $a \in L$.

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- A lattice L with zero is **lower finite**, if $L \downarrow a := \{x \in L \mid x \leq a\}$ is finite for each $a \in L$.
- We say that L is a **k -ladder**, if it is lower finite and every element of L has at most k lower covers.

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- We say that L is a **k -ladder**, if it is lower finite and every element of L has at most k lower covers.
- We say that L has **breadth $\leq k$** , if for every nonempty finite $X \subseteq L$, there exists $Y \subseteq X$ such that $|Y| \leq k$ and $\bigvee X = \bigvee Y$.

A simple relation between ladders and breadth

3-ladders

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Lemma

Every k -ladder has breadth $\leq k$.

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Lemma

Every k -ladder has breadth $\leq k$.
The converse is false.

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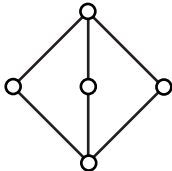
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Lemma

Every k -ladder has breadth $\leq k$.
The converse is false.

The lattice M_3 below has breadth 2. It is a 3-ladder but not a 2-ladder.



An upper bound for the size of a k -ladder

3-ladders

For any set Ω and any positive integer n , we set

- $[\Omega]^n := \{X \subseteq \Omega \mid |X| = n\}$;

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Kuratowski's Free Set Theorem (1951)

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Let k be a positive integer and let Ω be a set.

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Proposition (S. Z. Ditor, 1984)

Let k be a positive integer. Then every lower finite lattice L of breadth $\leq k$ has cardinality at most \aleph_{k-1} .

1-ladders and 2-ladders

3-ladders

- Every finite chain is a 1-ladder.

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1-ladders and 2-ladders

3-ladders

- Every finite chain is a 1-ladder. So is the chain ω of all natural numbers.

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Theorem (S. Z. Ditor 1984)

There exists a 2-ladder of cardinality \aleph_1 .

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Every distributive algebraic lattice with $\leq \aleph_1$ compact elements is isomorphic to

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Examples of applications:

Every distributive algebraic lattice with $\leq \aleph_1$ compact elements is isomorphic to

- the congruence lattice of some lattice (A. P. Huhn 1989).
- the lattice of all normal subgroups of some locally finite group (P. Růžička, J. Tůma, and F. Wehrung 2006).

2-ladders (continued)

3-ladders

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Proof of existence of a 2-ladder of cardinality \aleph_1 :

2-ladders (continued)

3-ladders

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Proof of existence of a 2-ladder of cardinality \aleph_1 : We construct $F := \bigcup (F_\alpha \mid \alpha < \omega_1)$,

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The problem is the successor case. Suppose F_α constructed. It is a countable 2-ladder (induction hypothesis).

2-ladders (continued further)

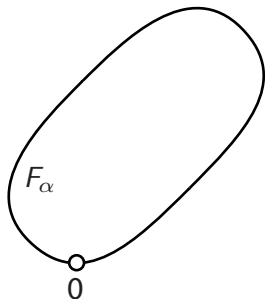
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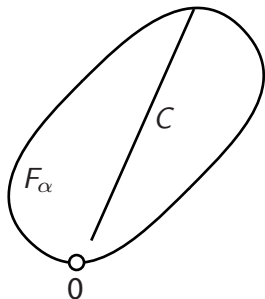
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Pick a cofinal chain C of F_α .

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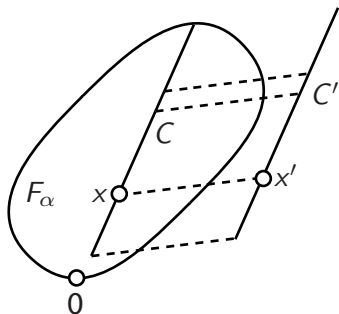
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Pick a cofinal chain C of F_α .

Add a copy $C' = \{x' \mid x \in C\} \cong C \dots$

2-ladders (continued further)

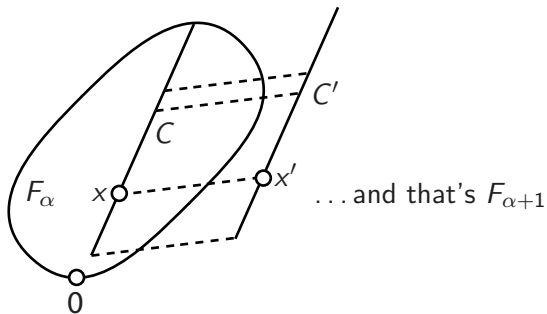
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And we are done ($F_{\alpha+1} := F_\alpha \cup C'$)!

Critical points: basic definitions

3-ladders

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Morasses

- Denote by $\text{Con}_c A$ the $(\vee, 0)$ -semilattice of all compact (i.e., finitely generated) congruences of an algebra A .

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- Denote by $\text{Con}_c A$ the $(\vee, 0)$ -semilattice of all compact (i.e., finitely generated) congruences of an algebra A .
- For a class \mathcal{C} of algebras, put

$$\text{Con}_c \mathcal{C} := \{S \mid (\exists A \in \mathcal{C})(S \cong \text{Con}_c A)\}.$$

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$$\text{Con}_c \mathcal{C} := \{S \mid (\exists A \in \mathcal{C})(S \cong \text{Con}_c A)\}.$$

- For classes \mathcal{A} and \mathcal{B} of algebras, denote by $\text{crit}(\mathcal{A}, \mathcal{B})$ (**critical point** of $(\mathcal{A}, \mathcal{B})$) the least possible value of $|S|$ where $S \in \text{Con}_c \mathcal{A} \setminus \text{Con}_c \mathcal{B}$, if it exists; ∞ , otherwise (i.e., if $\text{Con}_c \mathcal{A} \subseteq \text{Con}_c \mathcal{B}$).

Critical points (continued)

3-ladders

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Theorem (P. Gillibert 2007)

For every locally finite variety \mathcal{A} and every finitely generated congruence-distributive variety \mathcal{B} , exactly one of the following holds:

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Finitely generated varieties \mathcal{A} and \mathcal{B} of (bounded) lattices have been found with either one of the following situations:

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- $\text{crit}(\mathcal{A}, \mathcal{B}) = \aleph_0$;

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Finitely generated varieties \mathcal{A} and \mathcal{B} of (bounded) lattices have been found with either one of the following situations:

- $\text{crit}(\mathcal{A}, \mathcal{B}) = \aleph_0$;
- $\text{crit}(\mathcal{A}, \mathcal{B}) = \aleph_1$;
- $\text{crit}(\mathcal{A}, \mathcal{B}) = \aleph_2$.

More specifically,

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- $\text{crit}(\mathcal{M}_3^{01}, \mathcal{D}^{01}) = \aleph_0$ and $\text{crit}(\mathcal{M}_4^{01}, \mathcal{M}_3^{01}) = \aleph_2$ (M. Ploščica 2000, 2003) (later extended to unbounded lattices by P. Gillibert);

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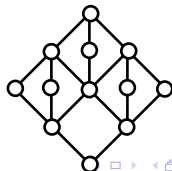
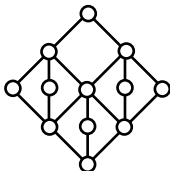
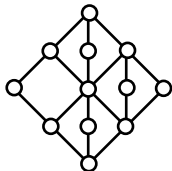
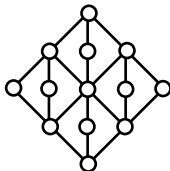
Morasses

- $\text{crit}(\mathcal{M}_3^{01}, \mathcal{D}^{01}) = \aleph_0$ and $\text{crit}(\mathcal{M}_4^{01}, \mathcal{M}_3^{01}) = \aleph_2$ (M. Ploščica 2000, 2003) (later extended to unbounded lattices by P. Gillibert);
- $\text{crit}(\mathcal{A}, \mathcal{B}) = \aleph_1$, where \mathcal{A} is generated by the top lattice and \mathcal{B} is generated by the three bottom lattices in the picture below (P. Gillibert 2007).

More specifically,

3-ladders

- $\text{crit}(\mathcal{M}_3^{01}, \mathcal{D}^{01}) = \aleph_0$ and $\text{crit}(\mathcal{M}_4^{01}, \mathcal{M}_3^{01}) = \aleph_2$ (M. Ploščica 2000, 2003) (later extended to unbounded lattices by P. Gillibert);
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Background

Critical points

$\text{MA}(\aleph_1;$
precaliber $\aleph_1)$

Morasses

Can one go further?

3-ladders

Background

Critical points

$MA(\aleph_1;$
precaliber $\aleph_1)$

Morasses

Question:

Are there finitely generated lattice varieties \mathcal{A} and \mathcal{B} such that $\text{crit}(\mathcal{A}, \mathcal{B}) = \aleph_3$?

Can one go further?

3-ladders

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precaliber $\aleph_1)$

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Are there finitely generated lattice varieties \mathcal{A} and \mathcal{B} such that $\text{crit}(\mathcal{A}, \mathcal{B}) = \aleph_3$?

Answer: nobody knows so far, but

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3-ladders

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Question:

Are there finitely generated lattice varieties \mathcal{A} and \mathcal{B} such that $\text{crit}(\mathcal{A}, \mathcal{B}) = \aleph_3$?

Answer: nobody knows so far, but there's a feeling that 3-ladders of cardinality \aleph_2 could help.

Possible existence of large 3-ladders?

3-ladders

Question (S. Z. Ditor 1984)

Does there exist a 3-ladder of cardinality \aleph_2 ?

Background

Critical points

$MA(\aleph_1;$
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Problem: C should be **not only** a 2-ladder, cofinal in F_α

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Partial answer (F. Wehrung 2008):

Yes, provided $\text{MA}(\aleph_1; \text{precaliber } \aleph_1)$ holds.

A first consistency result for 3-ladders of cardinality

 \aleph_2

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Background

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$\text{MA}(\aleph_1;$
precaliber $\aleph_1)$

Morasses

Corollary (F. Wehrung 2008):

If $\text{MA}(\aleph_1; \text{precaliber } \aleph_1)$ holds, then there exists a 3-ladder of cardinality \aleph_2 .

What is $\text{MA}(\aleph_1; \text{precaliber } \aleph_1)$?

3-ladders

- A subset X in a poset P is **centered**, if every finite subset of X has a lower bound in P (not necessarily in $X!$).

Background

Critical points

$\text{MA}(\aleph_1;$
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Morasses

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3-ladders

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What about this axiom?

- $MA(\aleph_1; \text{precaliber } \aleph_1)$ is consistent with ZFC (Solovay and Tennenbaum, 1971).



What is $\text{MA}(\aleph_1; \text{precaliber } \aleph_1)$?

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What is $\text{MA}(\aleph_1; \text{precaliber } \aleph_1)$?

3-ladders

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- $\text{MA}(\aleph_1; \text{precaliber } \aleph_1)$ implies that $2^{\aleph_0} = 2^{\aleph_1}$ (Martin and Solovay, 1970). In particular, it contradicts the Continuum Hypothesis.



Simplified gap-1 morasses

3-ladders

Background

Critical points

$MA(\aleph_1;$
precaliber $\aleph_1)$

Morasses

- $\alpha + \beta :=$ sum of two ordinals α and β (non-commutative).

Simplified gap-1 morasses

3-ladders

Background

Critical points

$MA(\aleph_1;$
precaliber $\aleph_1)$

Morasses

- $\alpha + \beta :=$ sum of two ordinals α and β (non-commutative).
- $\beta - \alpha :=$ unique ordinal ξ such that $\alpha + \xi = \beta$.

Simplified gap-1 morasses

3-ladders

Background

Critical points

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precaliber $\aleph_1)$

Morasses

- $\alpha + \beta$:= sum of two ordinals α and β (non-commutative).
- $\beta - \alpha$:= unique ordinal ξ such that $\alpha + \xi = \beta$.
- For $\alpha \leq \beta$, define $\tau_{\alpha,\beta}: \beta \rightarrow \beta + (\beta - \alpha)$ by

$$\tau_{\alpha,\beta}(\xi) := \begin{cases} \xi, & \text{if } \xi < \alpha, \\ \beta + (\xi - \alpha), & \text{if } \xi \geq \alpha. \end{cases}$$

Defining $(\kappa, 1)$ -morasses

3-ladders

Background

Critical points

$MA(\aleph_1;$
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Morasses

Definition (D. J. Velleman 1984)

Let κ be an infinite cardinal. A **simplified $(\kappa, 1)$ -morass** is a structure

Defining $(\kappa, 1)$ -morasses

3-ladders

Background

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Let κ be an infinite cardinal. A **simplified $(\kappa, 1)$ -morass** is a structure

$$\mathcal{M} = ((\theta_\alpha \mid \alpha \leq \kappa), (\mathcal{F}_{\alpha,\beta} \mid \alpha < \beta \leq \kappa))$$

Defining $(\kappa, 1)$ -morasses

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3-ladders

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$$\mathcal{M} = ((\theta_\alpha \mid \alpha \leq \kappa), (\mathcal{F}_{\alpha,\beta} \mid \alpha < \beta \leq \kappa))$$

satisfying the following conditions:

- (P0) (a) $\theta_0 = 2$, $0 < \theta_\alpha < \kappa$ for each $\alpha < \kappa$, and $\theta_\kappa = \kappa^+$.
(b) $\mathcal{F}_{\alpha,\beta}$ is a set of order-embeddings from θ_α into θ_β , for all $\alpha < \beta \leq \kappa$.
- (P1) $|\mathcal{F}_{\alpha,\beta}| < \kappa$, for all $\alpha < \beta < \kappa$.
- (P2) If $\alpha < \beta < \gamma \leq \kappa$, then
 $\mathcal{F}_{\alpha,\gamma} = \{f \circ g \mid f \in \mathcal{F}_{\beta,\gamma} \text{ and } g \in \mathcal{F}_{\alpha,\beta}\}$.

(to be continued)

Defining simplified $(\kappa, 1)$ -morasses (cont'd)

3-ladders

Background

Critical points

$MA(\aleph_1;$
precaliber $\aleph_1)$

Morasses

(end of definition of a simplified $(\kappa, 1)$ -morass)

- (P3) For each $\alpha < \kappa$, there exists a *nonzero* ordinal $\delta_\alpha < \theta_\alpha$ such that $\theta_{\alpha+1} = \theta_\alpha + (\theta_\alpha - \delta_\alpha)$ and $\mathcal{F}_{\alpha, \alpha+1} = \{\text{id}_{\theta_\alpha}, \tau_{\delta_\alpha, \theta_\alpha}\}$.
- (P4) For every limit ordinal $\lambda \leq \kappa$, all $\alpha_i < \lambda$ and $f_i \in \mathcal{F}_{\alpha_i, \lambda}$, for $i < 2$, there exists $\alpha < \lambda$ with $\alpha_0, \alpha_1 < \alpha$ together with $f'_i \in \mathcal{F}_{\alpha_i, \alpha}$, for $i < 2$, and $g \in \mathcal{F}_{\alpha, \lambda}$ such that $f_i = g \circ f'_i$ for each $i < 2$.
- (P5) The equality $\theta_\alpha = \bigcup \{f[\theta_\xi] \mid \xi < \alpha \text{ and } f \in \mathcal{F}_{\xi, \alpha}\}$ holds for each $\alpha > 0$.

Do these things exist at all?

3-ladders

Background

Critical points

$MA(\aleph_1;$
precaliber $\aleph_1)$

Morasses

Theorem

- Simplified $(\omega_1, 1)$ -morasses exist in $\mathbf{L}[A]$, for each $A \subseteq \omega_1$ (R. Jensen 1970, K. Devlin 1984, and D. J. Velleman 1984).

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- If there exists no simplified $(\omega_1, 1)$ -morass, then ω_2 is inaccessible in the constructible universe \mathbf{L} (R. Jensen 1970, K. Devlin 1984, and D. J. Velleman 1984)

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- If there exists an inaccessible cardinal, then there exists a generic extension without a “Kurepa tree”, and thus without a simplified $(\omega_1, 1)$ -morass (J. Silver 1971).

What does this have to do with 3-ladders?

3-ladders

Background

Critical points

$MA(\aleph_1;$
precaliber $\aleph_1)$

Morasses

Theorem (F. Wehrung 2008)

If there exists a simplified $(\omega_1, 1)$ -morass, then there exists a 3-ladder of cardinality \aleph_2 .

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Corollary

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Corollary

The existence of a 3-ladder of cardinality \aleph_2 is consistent with both the Continuum Hypothesis and its negation.

The question remains:

3-ladders

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Question

Is the existence of a 3-ladder of cardinality \aleph_2 a theorem of ZFC?

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Is the existence of a 3-ladder of cardinality \aleph_2 a theorem of ZFC?

Eerie situation: The existence of a 3-ladder of cardinality \aleph_2 follows from either one of two axioms that are usually thought of as 'orthogonal' to each other.