

# Spectrum problems for structures arising from lattices and rings

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commutative,  
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Spectral  
scrummage

# The spectrum of a commutative, unital ring

- A proper ideal  $P$  in a commutative, unital ring  $A$  is **prime** if  $A/P$  is a **domain**. Equivalently,  $xy \in P \Rightarrow (x \in P \text{ or } y \in P)$ , for all  $x, y \in A$ .

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- Endow the set  $\text{Spec } A \stackrel{\text{def}}{=} \{P \mid P \text{ is a prime ideal of } A\}$  with the topology whose **closed** sets are those of the form

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- This is the so-called **hull-kernel topology** on  $\text{Spec } A$ . The topological space thus obtained is the **(Zariski) spectrum** of  $A$ .

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- Is there an intrinsic characterization of the topological spaces of the form  $\text{Spec } A$ ?

# Spectral spaces

- A **nonempty closed** set  $F$  in a topological space  $X$  is **irreducible** if  $F = A \cup B$  implies that either  $F = A$  or  $F = B$ , for all **closed** sets  $A$  and  $B$ .

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- **Spec  $A$  is a spectral space**, for every commutative unital ring  $A$  (well known and easy).

# Hochster's Theorem

The converse of the above observation holds:

## Theorem (Hochster 1969)

Every spectral space  $X$  is homeomorphic to  $\text{Spec } A$  for some commutative unital ring  $A$ .

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- On the spectral space side, consider **surjective spectral maps**.

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- In order for that observation to make sense, the **morphisms** need to be specified.
- On the ring side, just consider **unital ring homomorphisms**.
- On the spectral space side, consider **surjective spectral maps**. For spectral spaces  $X$  and  $Y$ , a map  $f: X \rightarrow Y$  is **spectral** if  $f^{-1}[V] \in \overset{\circ}{\mathcal{K}}(X)$  whenever  $V \in \overset{\circ}{\mathcal{K}}(Y)$ .

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# Bounded distributive lattices

- A **lattice** is a structure  $(L, \vee, \wedge)$ , where  $\vee$  and  $\wedge$  are both binary operations on a set  $L$  such that there is a partial ordering  $\leq$  for which  $x \vee y = \sup(x, y)$  (the **join** of  $\{x, y\}$ ) and  $x \wedge y = \inf(x, y)$  (the **meet** of  $\{x, y\}$ )  $\forall x, y \in L$ .

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- A **0, 1-lattice homomorphism** is a lattice homomorphism  $f: K \rightarrow L$ , between bounded lattices, such that  $f(0_K) = 0_L$  and  $f(1_K) = 1_L$ .

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- A subset  $I$  in a bounded distributive lattice  $D$  is an **ideal** of  $D$  if  $0 \in I$ , ( $\{x, y\} \subseteq I \Rightarrow x \vee y \in I$ ), and ( $\{x, y\} \cap I \neq \emptyset \Rightarrow x \wedge y \in I$ ). An ideal  $I$  is **prime** if  $I \neq D$  and  $(x \wedge y \in I \Rightarrow \{x, y\} \cap I \neq \emptyset)$ .

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- For a bounded distributive lattice  $D$ , set  $\text{Spec } D \stackrel{\text{def}}{=} \{P \mid P \text{ is a prime ideal of } D\}$ , endowed with the topology whose **closed** sets are the sets of the form

$$\text{Spec}(D, X) \stackrel{\text{def}}{=} \{P \in \text{Spec } D \mid X \subseteq P\}, \quad \text{for } X \subseteq D,$$

and we call it the **spectrum** of  $D$ .

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- For a bounded distributive lattice  $D$ , set  $\text{Spec } D \stackrel{\text{def}}{=} \{P \mid P \text{ is a prime ideal of } D\}$ , endowed with the topology whose **closed** sets are the sets of the form

$$\text{Spec}(D, X) \stackrel{\text{def}}{=} \{P \in \text{Spec } D \mid X \subseteq P\}, \quad \text{for } X \subseteq D,$$

and we call it the **spectrum** of  $D$ .

- It is well known that the spectrum of any bounded distributive lattice is a **spectral space**.

# The functors underlying Stone duality

- For bounded distributive lattices  $D$  and  $E$  and a  $0, 1$ -lattice homomorphism  $f: D \rightarrow E$ , the map  $\text{Spec } f: \text{Spec } E \rightarrow \text{Spec } D, Q \mapsto f^{-1}[Q]$  is **spectral**.

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- For bounded distributive lattices  $D$  and  $E$  and a 0, 1-lattice homomorphism  $f: D \rightarrow E$ , the map  $\text{Spec } f: \text{Spec } E \rightarrow \text{Spec } D, Q \mapsto f^{-1}[Q]$  is **spectral**.
- For spectral spaces  $X$  and  $Y$  and a spectral map  $\varphi: X \rightarrow Y$ , the map  $\overset{\circ}{\mathcal{K}}(\varphi): \overset{\circ}{\mathcal{K}}(Y) \rightarrow \overset{\circ}{\mathcal{K}}(X), V \mapsto \varphi^{-1}[V]$  is a 0, 1-lattice homomorphism.



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## Theorem (Stone 1938)

The pair  $(\text{Spec}, \overset{\circ}{\mathcal{K}})$  induces a (categorical) **duality**, between **bounded distributive lattices** with 0, 1-lattice homomorphisms and **spectral spaces** with spectral maps.

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The pair  $(\text{Spec}, \overset{\circ}{\mathcal{K}})$  induces a (categorical) **duality**, between **bounded distributive lattices** with 0, 1-lattice homomorphisms and **spectral spaces** with spectral maps.

Note that in Hochster's Theorem's case, we do **not** obtain a duality (a ring is not determined by its spectrum).

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- To summarize: **spectral spaces** are the same as spectra of **commutative unital rings**, and also spectra of **bounded distributive lattices**.

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- To summarize: **spectral spaces** are the same as spectra of **commutative unital rings**, and also spectra of **bounded distributive lattices**.
- Further algebraic structures also afford a concept of spectrum.

# $\ell$ -ideals of an Abelian $\ell$ -group

- An  $\ell$ -group is a group endowed with a lattice ordering  $\leq$ , such that  $x \leq y$  implies both  $xz \leq yz$  and  $zx \leq zy$ .

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- An **order-unit** of  $G$  is an element  $e \in G^+$  such that  $G = \langle e \rangle$ .

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- For an Abelian  $\ell$ -group  $G$  with unit, we set  $\text{Spec}_\ell G \stackrel{\text{def}}{=} \{P \mid P \text{ is a prime ideal of } G\}$ , endowed with the topology whose **closed** sets are the sets of the form

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- **It turns out that more is true!**



# Completely normal spectral spaces

- In any topological space  $X$ , the **specialization preordering** is defined by  $x \leq y$  if  $y \in \overline{\{x\}}$ .

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- A spectral space  $X$  is **completely normal** if  $\leq$  is a **root system**, that is,  $\{x, y\} \subseteq \overline{\{z\}} \Rightarrow (x \in \overline{\{y\}} \text{ or } y \in \overline{\{x\}})$ .

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## Theorem (Monteiro 1954)

A spectral space  $X$  is completely normal iff its Stone dual  $\overset{\circ}{\mathcal{K}}(X)$  is a completely normal lattice, that is,

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$$(\forall a, b)(\exists x, y)(a \vee b = a \vee y = x \vee b \text{ and } x \wedge y = 0).$$

# $\ell$ -spectra of Abelian $\ell$ -groups again

## Theorem (Keimel 1971)

The  $\ell$ -spectrum of any Abelian  $\ell$ -group with unit is a completely normal spectral space.

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Not every completely normal spectral space is an  $\ell$ -spectrum.

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Not every completely normal spectral space is an  $\ell$ -spectrum.

Delzell and Madden's example is not second countable (i.e., no countable basis of the topology): in fact, it has  $\text{card } \overset{\circ}{\mathcal{K}}(X) = \aleph_1$ .

# $\ell$ -spectra of countable Abelian $\ell$ -groups

## Theorem (W. 2017)

Every **second countable** completely normal spectral space is homeomorphic to  $\text{Spec}_\ell G$  for some **Abelian  $\ell$ -group  $G$  with unit**.

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- Hence, Delzell and Madden's counterexample **cannot** be extended to the **countable** case.

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- Hence, Delzell and Madden's counterexample **cannot** be extended to the **countable** case.
- **Very rough outline of proof** (of the countable case): start by observing that for any Abelian  $\ell$ -group  $G$  with unit, the **Stone dual** of  $\text{Spec}_\ell G$  is  $\text{Id}_c G$ , the lattice of all **principal  $\ell$ -ideals** of  $G$  (ordered by  $\subseteq$ ).

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- Thus we must prove that **every countable completely normal bounded distributive lattice  $D$  is  $\cong \text{Id}_c G$  for some Abelian  $\ell$ -group  $G$  with unit**.



# Very rough outline of the proof of the countable case (cont'd)

- The idea is to construct a “nice” surjective 0, 1-lattice homomorphism  $f: \text{Id}_c F_\omega \rightarrow D$ , where  $F_\omega$  denotes the free Abelian  $\ell$ -group on a countably infinite generating set.

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## Definition (closed maps)

For bounded distributive lattices  $A$  and  $B$ , a 0, 1-lattice homomorphism  $f: A \rightarrow B$  is **closed** if whenever  $a_0, a_1 \in A$  and  $b \in B$ , if  $f(a_0) \leq f(a_1) \vee b$ , then there exists  $x \in A$  such that  $a_0 \leq a_1 \vee x$  and  $f(x) \leq b$ .

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- The lattices  $L_n$  will have the form  $\text{Op}^-(\mathcal{H})$ , for **finite** sets of integer hyperplanes in  $\mathbb{E} \stackrel{\text{def}}{=} \mathbb{R}^{(\omega)}$ .

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- A crucial observation is that each  $\text{Op}(\mathcal{H})$  is a **Heyting subalgebra** of the Heyting algebra of all open subsets of  $\mathbb{E}$ .

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Analogous result for  $\mathcal{L}_{\infty, \lambda}$  (for an infinite cardinal  $\lambda$ ):  
**proof currently under verification.**

# Cones, prime cones, real spectrum

- The **real spectrum** was introduced in 1981, by Coste and Coste-Roy, as an ordered analogue of the Zariski spectrum of a commutative unital ring.

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- The **real spectrum** was introduced in 1981, by Coste and Coste-Roy, as an ordered analogue of the Zariski spectrum of a commutative unital ring.
- Let  $A$  be a commutative unital ring (**not necessarily ordered**). A **cone** of  $A$  is a subset  $C$  of  $A$ , such that  $C + C \subseteq C$ ,  $C \cdot C \subseteq C$ , and  $a^2 \in C$  whenever  $a \in A$ .

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- It turns out that  $\text{Spec}_r A$  is a **completely normal spectral space**, for any commutative unital ring  $A$ .



# Characterizing problem of real spectra

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## Problem (Keimel 1991)

Characterize real spectra of commutative unital rings.

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## Theorem (Delzell and Madden 1994)

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## Theorem (Mellor and Tressl 2012)

For any infinite cardinal  $\lambda$ , there is no  $\mathcal{L}_{\infty, \lambda}$ -characterization of the Stone duals of real spectra of commutative unital rings.

# Subspaces of $\ell$ -spectra and real spectra

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It is known that every **closed** subspace of an  $\ell$ -spectrum (resp., real spectrum) is an  $\ell$ -spectrum (resp., real spectrum).

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Not every spectral subspace of an  $\ell$ -spectrum (resp., real spectrum) is an  $\ell$ -spectrum (resp., real spectrum).

## Problem (W. 2017)

Is a **retract** of an  $\ell$ -spectrum also an  $\ell$ -spectrum? Same question for real spectra.



# Comparing spectra

- For any class  $\mathbf{X}$  of spectral spaces, denote by  $\mathbf{SX}$  the class of all **spectral subspaces** of members of  $\mathbf{X}$ .

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  - $\mathbf{CN}$ , the class of all **completely normal** spectral spaces;

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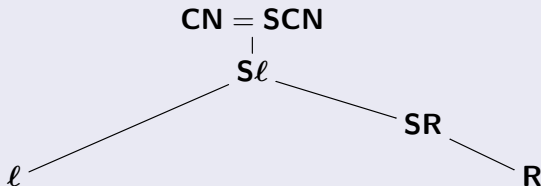
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## Theorem (W. 2017)

All containments and non-containments of the following picture are valid:



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- All the separating counterexamples, intervening in the result above, have size  $\aleph_1$ , **except for** the counterexample constructed for  $\mathbf{Sl} \subsetneq \mathbf{CN}$ , which has size  $\aleph_2$ .

- All the separating counterexamples, intervening in the result above, have size  $\aleph_1$ , **except for** the counterexample constructed for  $\mathbf{S}\ell \subsetneq \mathbf{C}\mathbf{N}$ , which has size  $\aleph_2$ .
- Most of the examples constructed for the theorem above involve the construction of **condensate** (Gillibert and W. 2011), which turns **diagram counterexamples** to **object counterexamples**, with a **jump of alephs** corresponding to the **order-dimension** of the poset indexing the diagram (thus  $\aleph_1$ ,  $\aleph_2$ , and so on).



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Thanks for your attention!