

Approximating the finite by the infinite: Ladders and CLL

Friedrich Wehrung

Université de Caen
LMNO, UMR 6139

Département de Mathématiques
14032 Caen cedex

E-mail: wehrung@math.unicaen.fr

URL: <http://www.math.unicaen.fr/~wehrung>

*Most of the results discussed here obtained with **Pierre Gillibert**.*

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Certain posets \rightarrow lattices

A partially ordered set (=poset) (L, \leq) is a **lattice**, if

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$$(x \vee y) \vee z = x \vee (y \vee z); \quad x \vee y = y \vee x; \quad x \vee x = x;$$

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(**semilattice laws**), and

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(**absorption laws**).

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(**semilattice laws**), and

$$x \vee (x \wedge y) = x \wedge (x \vee y) = x$$

(**absorption laws**). We also say that (L, \vee, \wedge) is a **lattice**.

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Conversely, if (L, \vee, \wedge) satisfies the axioms (semilattice, absorption) above, define a binary relation \leq on L by

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Hasse diagrams of the lattices M_3 and N_5 :

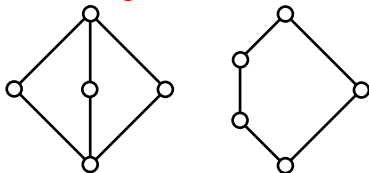
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- Modularity is also self-dual. It is implied by distributivity.

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- A lattice is modular (resp., distributive) iff it contains no copy of N_5 (resp., M_3 and N_5).

Examples of lattices

- The powerset $\mathfrak{P}(X)$ of a set X , with \subseteq .

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- For a **group** G ,
$$\text{NSub } G := \{X \mid X \text{ is a normal subgroup of } G\}.$$

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Modular. Particular case: subspace lattices of **vector spaces**.

Further examples of lattices

- The lattice $\text{Eq } X$ of all **equivalence relations** on a set X , ordered by \subseteq . **Not modular, no identity** (X infinite).

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- For permutations α and β on $\{1, \dots, n\}$, set

$$\text{Inv}(\alpha) := \{(i, j) \mid i < j \text{ and } \alpha(i) > \alpha(j)\},$$

$$\alpha \leq \beta \iff \text{Inv}(\alpha) \subseteq \text{Inv}(\beta).$$

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We get the **permutohedron** on n letters. **Not modular** for $n \geq 3$. Any identity for all of them? **Open problem.**

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Further examples of lattices

- The lattice $\text{Eq } X$ of all **equivalence relations** on a set X , ordered by \subseteq . **Not modular, no identity** (X infinite).
- For permutations α and β on $\{1, \dots, n\}$, set

$$\text{Inv}(\alpha) := \{(i, j) \mid i < j \text{ and } \alpha(i) > \alpha(j)\},$$
$$\alpha \leq \beta \iff \text{Inv}(\alpha) \subseteq \text{Inv}(\beta).$$

We get the **permutohedron** on n letters. **Not modular** for $n \geq 3$. Any identity for all of them? **Open problem.**

- A subset X in a poset P is *order-convex* if $x \leq y \leq z$ and $x, z \in X$ implies that $y \in X$.

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Not modular as a rule, but has other identities, such as

$$x \wedge (x_0 \vee x_1) \wedge (x_1 \vee x_2) \wedge (x_0 \vee x_2)$$
$$= (x \wedge x_0 \wedge (x_1 \vee x_2)) \vee (x \wedge x_1 \wedge (x_0 \vee x_2)) \vee (x \wedge x_2 \wedge (x_0 \vee x_1)).$$

Variety is the spice of life

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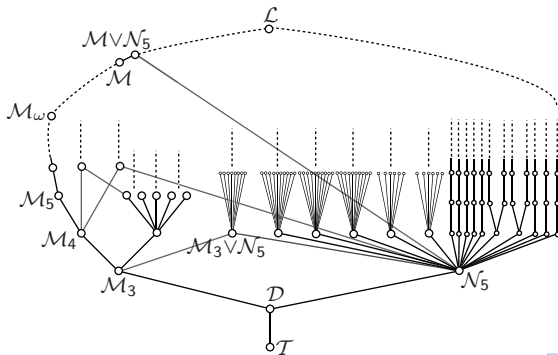
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Congruences, congruence lattices

- **Congruence** of a lattice L : equivalence relation θ on L , compatible with both \vee and \wedge operations:

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- **Finitely generated** (=compact) congruence: least congruence that identifies x_1 with y_1, \dots, x_n with y_n (where $x_i, y_i \in L$ given).

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Congruence classes; critical points

- **Congruence class** of a variety \mathcal{V} : $\text{Con } \mathcal{V} :=$ class of all lattices isomorphic to some $\text{Con } L$, where $L \in \mathcal{V}$. **Fully understood only for $\mathcal{V} =$ either \mathcal{T} or \mathcal{D} .**

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- **Example:** $\text{crit}(\text{groups}, \text{lattices}) = 5$. On the other hand, $\text{crit}(\text{lattices}, \text{groups}) = \aleph_2$ (Růžička, Tůma, and W.).

Critical points are difficult to calculate

Notation: $\mathbf{Var}(L)$:= variety generated by L . It is the class of all lattices satisfying all identities satisfied by L .

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Recently, P. Gillibert proved that $n \in \{0, 1, 2\}$.

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Lifting an arrow (continued)

- **Back to the problem with one arrow:** we need lattices A and B , a homomorphism $f: A \rightarrow B$, and a “commutative diagram”

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- **Back to the problem with one arrow:** we need lattices A and B , a homomorphism $f: A \rightarrow B$, and a “commutative diagram”

$$\begin{array}{ccc} \text{Con } A & \xrightarrow{\text{Con } f} & \text{Con } B \\ \cong \downarrow & & \downarrow \cong \\ S & \xrightarrow{\varphi} & T \end{array}$$

- We say that $f: A \rightarrow B$ **lifts** $\varphi: S \rightarrow T$.

Lifting an arrow (continued)

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- Lifting problems: can also be defined for more complex diagrams of finite distributive lattices and $(\vee, 0)$ -homomorphisms.

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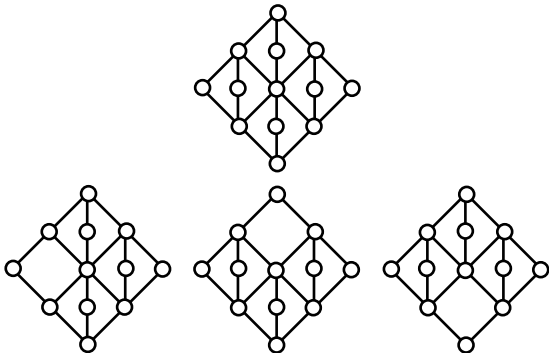
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How Gillibert proceeds for the critical point \aleph_1

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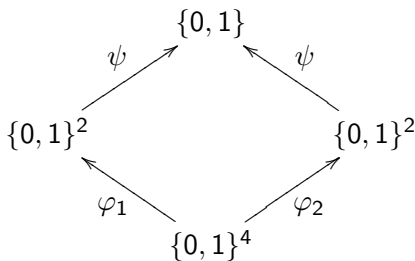
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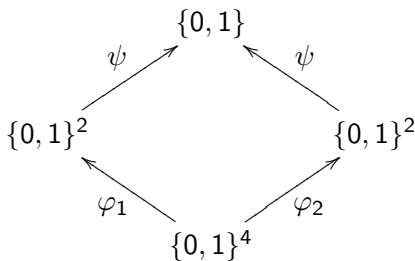
How Gillibert proceeds for the critical point \aleph_1

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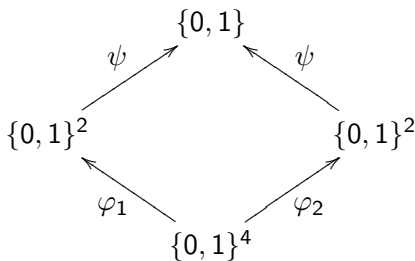
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where $\varphi_1(x, y, z, t) := (x \vee y, z \vee t)$,
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- Prove that the diagram can be lifted in $\mathbf{Var}(A)$, but not in $\mathbf{Var}(B)$. **Purely combinatorial (computational), once A , B , and the diagram have been guessed.**

How Gillibert concludes (critical point \aleph_1)

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- Prove a “condensation principle”, that creates a “condensate” of the finite **diagram** above, which is a big **object** (algebraic distributive lattice with \aleph_1 compact elements).

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- The “condensation principle” above has been subsequently set into a more general, **categorical**, framework.

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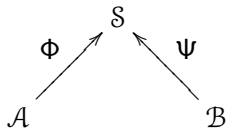
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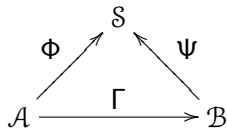
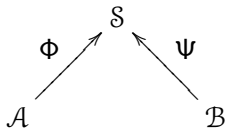
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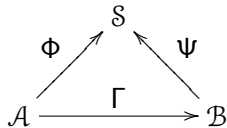
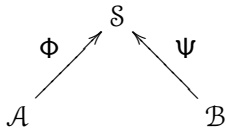
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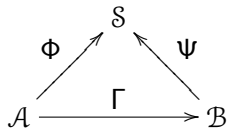
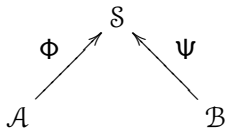
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Hence we need an assumption of the form “for many $A \in \mathcal{A}$, there exists $B \in \mathcal{B}$ such that $\Phi(A) \cong \Psi(B)$ ”. Ask for $\Gamma: \mathcal{A} \rightarrow \mathcal{B}$ to be a **functor** (at least on a large enough subcategory of \mathcal{A}).

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- For an infinite **regular cardinal** λ , a **λ -ladder** consists of categories \mathcal{A} , \mathcal{B} , \mathcal{S} with functors $\Phi: \mathcal{A} \rightarrow \mathcal{S}$ and $\Psi: \mathcal{B} \rightarrow \mathcal{S}$, **together with a bunch of add-ons:**

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- Full subcategories $\mathcal{A}^\dagger \subseteq \mathcal{A}$, $\mathcal{B}^\dagger \subseteq \mathcal{B}$ of “small” objects, plus a subcategory $\mathcal{S}^\Rightarrow \subseteq \mathcal{S}$ (the “double arrows”). . .

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- . . . satisfying lots of extra properties (preservation properties related to colimits, **plus an analogue of the Löwenheim-Skolem Theorem**).

The Condensate Lifting Lemma (CLL)

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The statement of CLL is about as follows.

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Theorem (Gillibert and W., 2009)

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Theorem (Gillibert and W., 2009)

Let λ be an infinite cardinal and let P be a poset with a “ λ -lifter” (X, \mathbf{X}) , let $(\mathcal{A}, \mathcal{B}, \mathcal{S}, \mathcal{A}^\dagger, \mathcal{B}^\dagger, \mathcal{S}^\Rightarrow, \Phi, \Psi)$ be a λ -larder, let \vec{A} be a P -indexed diagram in \mathcal{A} such that $A_p \in \mathcal{A}^\dagger$ for each non-maximal $p \in P$, let $B \in \mathcal{B}$ a λ -continuous directed colimit of a diagram in \mathcal{B}^\dagger , and let $\chi: \Psi(B) \Rightarrow \Phi(\mathbf{F}(X) \otimes \vec{A})$. Then there are a P -indexed diagram \vec{B} of subobjects of B in \mathcal{B}^\dagger and a double arrow $\vec{\chi}: \Psi \vec{B} \Rightarrow \Phi \vec{A}$.

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In short: in order to lift the **diagram** $\Phi \vec{A}$ with respect to Ψ, \Rightarrow , it is sufficient to lift the **object** $\Phi(A)$ with respect to Ψ, \Rightarrow , where A is a suitable **condensate** of \vec{A} (viz. $A := \mathbf{F}(X) \otimes \vec{A}$).

Limitations on the shape of P

- The poset P in the statement of CLL needs to be an “almost join-semilattice with zero” (or a finite disjoint union of such guys).

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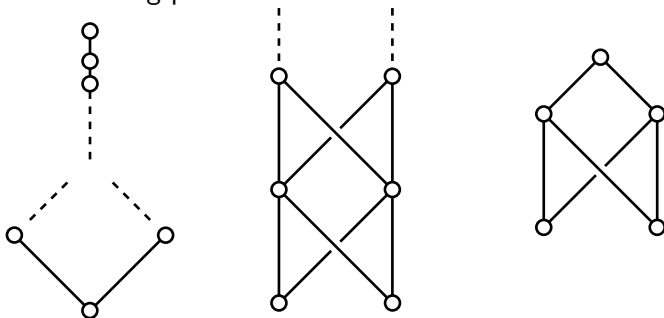
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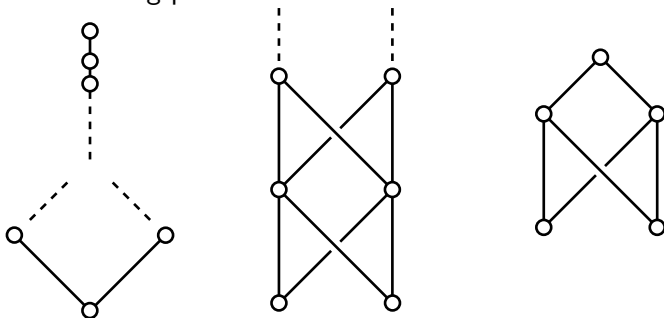
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- Too bad...

Lattices of right ideals of von Neumann regular rings

- A ring (associative, not necessarily unital) R is (von Neumann) **regular**, if $(\forall x \in R)(\exists y \in R)(xyx = x)$.

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- For a homomorphism $f: R \rightarrow S$ of regular rings, the map $\mathbb{L}(f): \mathbb{L}(R) \rightarrow \mathbb{L}(S)$, $I \mapsto f(I)S$ is a 0-lattice homomorphism. The functor \mathbb{L} thus defined preserves directed colimits (=direct limits).

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- A lattice is **coordinatizable**, if it is isomorphic to $\mathbb{L}(R)$ for some regular ring R .

Non-coordinatizable 2-distributive lattices

Ladders and
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The identity of 2-distributivity:

$$x \wedge (y_0 \vee y_1 \vee y_2) = (x \wedge (y_0 \vee y_1)) \vee (x \wedge (y_0 \vee y_2)) \vee (x \wedge (y_1 \vee y_2)).$$

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$M_\omega := \{0, 1, a_0, a_1, a_2, \dots\}$, all a_i atoms, is 2-distributive.

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- The 0, 1-lattice embedding $\varphi: M_\omega \hookrightarrow M_\omega$, $a_n \mapsto a_{n+1}$ cannot be lifted with respect to the functor \mathbb{L} .
- There exists a non-coordinatizable 2-distributive complemented modular lattice, of cardinality \aleph_1 , with a spanning M_ω . **In particular, coordinatizability is not first-order.** (Established via a condensate-like construction)

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Theorem (Jónsson, 1962)

Let L be a sectionally complemented modular lattice with a large 4-frame.

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Theorem (Jónsson, 1962)

Let L be a sectionally complemented modular lattice with a large 4-frame. If L has a countable cofinal sequence, then L is coordinatizable (i.e., $\exists R$ regular ring such that $L \cong \mathbb{L}(R)$).

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There exists a non-coordinatizable sectionally complemented modular lattice, of cardinality \aleph_1 , with a large 4-frame.

Why ladders there?

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- Ladders don't play any role in the proof of the latter result, **until** we reach a ω_1 -tower of sectionally complemented modular lattices that cannot be lifted by the \mathbb{L} functor.

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- Ladders don't play any role in the proof of the latter result, **until** we reach a ω_1 -tower of sectionally complemented modular lattices that cannot be lifted by the \mathbb{L} functor.
- Then ladders are used to turn the **diagram counterexample** to an **object counterexample**.

Lattices without congruence-permutable, congruence-preserving extension

An extension $\mathbf{A} \leq \mathbf{B}$ of (universal) algebras is **congruence-preserving**, if the canonical map $\text{Con } \mathbf{A} \rightarrow \text{Con } \mathbf{B}$ is an isomorphism.

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Let \mathcal{V} be a nondistributive lattice variety. Then the free lattice (resp., the free bounded lattice) on \aleph_1 generators within \mathcal{V} has no congruence-permutable, congruence-preserving extension.

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Unlike all previous examples, the larger data for this result are difficult to figure out.