

Spectrum problems for structures arising from lattices and rings

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Hochster's
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commutative
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Stone duality
for bounded
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Spectral
scrummage

The spectrum of a commutative, unital ring

- A proper ideal P in a commutative, unital ring A is **prime** if A/P is a **domain**. Equivalently, $xy \in P \Rightarrow (x \in P \text{ or } y \in P)$, for all $x, y \in A$.

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- Endow the set $\text{Spec } A \stackrel{\text{def}}{=} \{P \mid P \text{ is a prime ideal of } A\}$ with the topology whose **closed** sets are those of the form

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for $X \subseteq A$. (We may take X a **radical ideal** of A .)

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- This is the so-called **hull-kernel topology** on $\text{Spec } A$. The topological space thus obtained is the **(Zariski) spectrum** of A .

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- Is there an intrinsic characterization of the topological spaces of the form $\text{Spec } A$?

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- **Spec A is a spectral space**, for every commutative unital ring A (well known and easy).

Hochster's Theorem

The converse of the above observation holds:

Theorem (Hochster 1969)

Every spectral space X is homeomorphic to $\text{Spec } A$ for some commutative unital ring A .

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- On the ring side, just consider **unital ring homomorphisms**.
- On the spectral space side, consider **surjective spectral maps**.

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- In order for that observation to make sense, the **morphisms** need to be specified.
- On the ring side, just consider **unital ring homomorphisms**.
- On the spectral space side, consider **surjective spectral maps**. For spectral spaces X and Y , a map $f: X \rightarrow Y$ is **spectral** if $f^{-1}[V] \in \overset{\circ}{\mathcal{K}}(X)$ whenever $V \in \overset{\circ}{\mathcal{K}}(Y)$.

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- A subset I in a bounded distributive lattice D is an **ideal** of D if $0 \in I$, $(\{x, y\} \subseteq I \Rightarrow x \vee y \in I)$, and $(\{x, y\} \cap I \neq \emptyset \Rightarrow x \wedge y \in I)$. An ideal I is **prime** if $I \neq D$ and $(x \wedge y \in I \Rightarrow \{x, y\} \cap I \neq \emptyset)$.

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and we call it the **spectrum** of D .

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- It is well known that the spectrum of any bounded distributive lattice is a **spectral space**.

The functors underlying Stone duality

- For bounded distributive lattices D and E and a $0, 1$ -lattice homomorphism $f: D \rightarrow E$, the map $\text{Spec } f: \text{Spec } E \rightarrow \text{Spec } D, Q \mapsto f^{-1}[Q]$ is **spectral**.

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- For spectral spaces X and Y and a spectral map $\varphi: X \rightarrow Y$, the map $\mathring{\mathcal{K}}(\varphi): \mathring{\mathcal{K}}(Y) \rightarrow \mathring{\mathcal{K}}(X), V \mapsto \varphi^{-1}[V]$ is a 0, 1-lattice homomorphism.

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Theorem (Stone 1938)

The pair $(\text{Spec}, \overset{\circ}{\mathcal{K}})$ induces a (categorical) **duality**, between **bounded distributive lattices** with 0, 1-lattice homomorphisms and **spectral spaces** with spectral maps.

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Theorem (Stone 1938)

The pair $(\text{Spec}, \overset{\circ}{\mathcal{K}})$ induces a (categorical) **duality**, between **bounded distributive lattices** with 0, 1-lattice homomorphisms and **spectral spaces** with spectral maps.

Note that in Hochster's Theorem's case, we do **not** obtain a duality (a ring is not determined by its spectrum).

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- In the case of **bounded distributive lattices**, we obtain a **duality**. In the case of **commutative unital rings**, we do **not**.
- Further algebraic structures also afford a concept of spectrum.

ℓ -ideals of an Abelian ℓ -group

- An ℓ -group is a group endowed with a lattice ordering \leq , such that $x \leq y$ implies both $xz \leq yz$ and $zx \leq zy$.

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- Our ℓ -groups will be Abelian ($xy = yx$), thus we will denote them **additively** ($x + y = y + x$,
 $G^+ \stackrel{\text{def}}{=} \{x \in G \mid x \geq 0\}$, $|x| \stackrel{\text{def}}{=} x \vee (-x)$).

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The ℓ -spectrum of an Abelian ℓ -group with unit

- For an Abelian ℓ -group G with (order-)unit, we set $\text{Spec}_\ell G \stackrel{\text{def}}{=} \{P \mid P \text{ is a prime ideal of } G\}$, endowed with the topology whose **closed** sets are the sets of the form

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Completely normal spectral spaces

- In any topological space X , the **specialization preordering** is defined by $x \leq y$ if $y \in \overline{\{x\}}$.

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Delzell and Madden's example is not second countable (i.e., no countable basis of the topology): in fact, it has $\text{card } \overset{\circ}{\mathcal{K}}(X) = \aleph_1$.

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Theorem (W. 2017)

Every **second countable** completely normal spectral space is homeomorphic to $\text{Spec}_\ell G$ for some **Abelian ℓ -group G with unit**.

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- Since G has an **order-unit**, $\text{Id}_c G$ is a **bounded distributive lattice**.
- Thus we must prove that **every countable completely normal bounded distributive lattice D is $\cong \text{Id}_c G$ for some Abelian ℓ -group G with unit**.

Very rough outline of the proof of the countable case (cont'd)

- The idea is to construct a “nice” surjective 0, 1-lattice homomorphism $f: \text{Id}_c F_\omega \rightarrow D$, where F_ω denotes the free Abelian ℓ -group on a countably infinite generating set.

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Definition (closed maps)

For bounded distributive lattices A and B , a 0, 1-lattice homomorphism $f: A \rightarrow B$ is **closed** if whenever $a_0, a_1 \in A$ and $b \in B$, if $f(a_0) \leq f(a_1) \vee b$, then there exists $x \in A$ such that $a_0 \leq a_1 \vee x$ and $f(x) \leq b$.

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- The map $f: \text{Id}_c F_\omega \rightarrow D$ is constructed as $f = \bigcup_{n < \omega} f_n$ (each $f_n \subseteq f_{n+1}$), where each $f_n: L_n \rightarrow D$ is a lattice homomorphism, for a carefully constructed **finite** sublattice L_n of $\text{Id}_c F_\omega$.

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- Let \mathcal{H} be a set of closed hyperplanes of a topological vector space \mathbb{E} .

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- The map $f: \text{Id}_c F_\omega \rightarrow D$ is constructed as $f = \bigcup_{n < \omega} f_n$ (each $f_n \subseteq f_{n+1}$), where each $f_n: L_n \rightarrow D$ is a lattice homomorphism, for a carefully constructed **finite** sublattice L_n of $\text{Id}_c F_\omega$.
- Due to a 2004 example of Di Nola and Grigolia, the L_n **cannot all be completely normal**.
- The finite distributive lattices L_n come out as special cases of the following construction.
- Let \mathcal{H} be a set of closed hyperplanes of a topological vector space \mathbb{E} .
- Each $H \in \mathcal{H}$ determines two open half-spaces H^+ and H^- .

Very rough outline of the proof of the countable case (further cont'd)

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- The subset $\text{Op}^-(\mathcal{H}) \stackrel{\text{def}}{=} \text{Op}(\mathcal{H}) \setminus \{\mathbb{E}\}$ is a sublattice of $\text{Op}(\mathcal{H})$.

Very rough outline of the proof of the countable case (coming to the end)

- The lattices L_n will have the form $\text{Op}^-(\mathcal{H})$, for **finite** sets of integer hyperplanes in $\mathbb{E} \stackrel{\text{def}}{=} \mathbb{R}^{(\omega)}$.

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- A crucial observation is that each $\text{Op}(\mathcal{H})$ is a **Heyting subalgebra** of the Heyting algebra of all open subsets of \mathbb{E} .

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- Say that a lattice D is **ℓ -representable** if it is $\cong \text{Id}_c G$ for some Abelian ℓ -group G with unit.

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The class of all ℓ -representable lattices is not $\mathcal{L}_{\infty, \lambda}$ -definable, for any infinite cardinal λ

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Difficult, involves categorical model-theoretical tools, developed in 2011 with Pierre Gillibert, called **condensates**.

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Cones, prime cones, real spectrum

- The **real spectrum** was introduced in 1981, by Coste and Coste-Roy, as an ordered analogue of the Zariski spectrum of a commutative unital ring.

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- Let A be a commutative unital ring (**not necessarily ordered**). A **cone** of A is a subset C of A such that $C + C \subseteq C$, $C \cdot C \subseteq C$, and $a^2 \in C$ whenever $a \in A$.

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- It turns out that $\text{Spec}_r A$ is a **completely normal spectral space**, for any commutative unital ring A .

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Problem (Keimel 1991)

Characterize real spectra of commutative unital rings.

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- Moreover, A can be taken an algebra over any given **countable formally real field** \mathbb{k} .
- The countability assumption on \mathbb{k} cannot be dispensed with (as witnessed by the lattice of all finite unions of relatively open, rational intervals of $[0, 1]$).

Very rough outline of proof (countable case)

- The general scheme of the proof follows the one of the corresponding result for ℓ -groups.

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- The lattices $\text{Op}(\mathcal{H})$ need to be replaced by relativized versions $\text{Op}(\mathcal{F}, \Omega)$, for finite sets \mathcal{F} of **affine** functionals and convex sets Ω .

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Theorem (Baro 2010)

Let \mathbb{k} be a real-closed field, let \mathbb{K} be a simplicial complex of \mathbb{k}^d , and let S_1, \dots, S_l be semi-algebraic subsets of $|\mathbb{K}|$. Then there exists a triangulation (\mathbb{L}, ψ) of $(|\mathbb{K}|; S_1, \dots, S_l)$ such that \mathbb{L} is a subdivision of \mathbb{K} and $\psi[S] = S$ for every open simplex S of \mathbb{K} .

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Not every completely normal spectral space is a real spectrum.

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Theorem (Mellor and Tressl 2012)

For any infinite cardinal λ , there is no $\mathcal{L}_{\infty, \lambda}$ -characterization of the Stone duals of real spectra of commutative unital rings.

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It is known that every **closed** subspace of an ℓ -spectrum (resp., real spectrum) is an ℓ -spectrum (resp., real spectrum).

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Problem (W. 2017)

Is a **retract** of an ℓ -spectrum also an ℓ -spectrum? Same question for real spectra.

Comparing spectra

- For any class \mathbf{X} of spectral spaces, denote by \mathbf{SX} the class of all **spectral subspaces** of members of \mathbf{X} .

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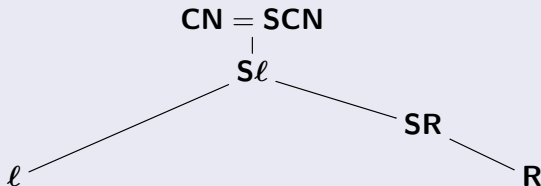
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Theorem (W. 2017)

All containments and non-containments of the following picture are valid:



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- All the separating counterexamples, intervening in the result above, have size \aleph_1 , **except for** the counterexample witnessing $\mathbf{Sl} \subsetneq \mathbf{CN}$, which has size \aleph_2 .

- All the separating counterexamples, intervening in the result above, have size \aleph_1 , **except for** the counterexample witnessing $\mathbf{Sl} \subsetneq \mathbf{CN}$, which has size \aleph_2 .
- Most of the examples constructed for the theorem above involve the construction of **condensate** (Gillibert and W. 2011), which turns **diagram counterexamples** to **object counterexamples**, with a **jump of alephs** corresponding to the **order-dimension** of the poset indexing the diagram (thus \aleph_1 , \aleph_2 , and so on).

A counterexample witnessing $\mathbf{R} \not\subseteq \ell$

- Knebusch and Scheiderer proved in 1989 that for any homomorphism $f: R \rightarrow S$ of commutative unital rings, the map $\text{Spec}_r f: \text{Spec}_r S \rightarrow \text{Spec}_r R$ is **convex**, that is, whenever $Q_0 \subseteq Q_1$ in $\text{Spec}_r S$, $P \in \text{Spec}_r R$, and $f^{-1}Q_0 \subseteq P \subseteq f^{-1}Q_1$, there exists $Q \in \text{Spec}_r S$ such that $Q_0 \subseteq Q \subseteq Q_1$ and $P = f^{-1}Q$.

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- Let K be any countable, non-Archimedean real-closed field, and set

$$A \stackrel{\text{def}}{=} \{x \in K \mid (\exists n < \omega)(-n \cdot 1 \leq x \leq n \cdot 1)\}.$$

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- The counterexample is the ring R of all almost constant families $(x_\xi \mid \xi < \omega_1) \in K^{\omega_1}$ such that $x_\infty \in A$: **there is no Abelian ℓ -group G such that $\text{Spec}_r R \cong \text{Spec}_\ell G$.**

A counterexample witnessing $\mathbf{SR} \not\subseteq \mathbf{R}$

- Start with a countable real-closed domain A with exactly three prime ideals $\{0\} \subsetneq P_1 \subsetneq P_2$. Then consider the ring E of all almost constant ω_1 -indexed families of elements of A .

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- Start with a countable real-closed domain A with exactly three prime ideals $\{0\} \subsetneq P_1 \subsetneq P_2$. Then consider the ring E of all almost constant ω_1 -indexed families of elements of A .
- Define $\varphi: \mathbf{4} \stackrel{\text{def}}{=} \{0, 1, 2, 3\} \rightarrow \mathbf{3} \stackrel{\text{def}}{=} \{0, 1, 2\}$ as the Stone dual of the (non-convex) map $\{1, 2\} \rightarrow \{1, 2, 3\}$, $1 \mapsto 1$, $2 \mapsto 3$. Hence $\varphi(0) = 0$, $\varphi(1) = \varphi(2) = 1$, $\varphi(3) = 2$.

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- The lattice $\text{Cond}(\varphi, \omega_1) \stackrel{\text{def}}{=} \{(x, y) \in \mathbf{4} \times \mathbf{3}^{\omega_1} \mid y_\xi = \varphi(x) \text{ for all but finitely many } \xi\}$ is not the dual space of any real spectrum (because of Knebusch and Scheiderer's result).

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- However, $\text{Cond}(\varphi, \omega_1)$ is a homomorphic image of the dual space of the real spectrum of E .

A counterexample witnessing $\ell \not\subseteq \text{SR}$

- For any chain Λ , denote by $\mathbb{Z}\langle\Lambda\rangle$ the lexicographical power of \mathbb{Z} by Λ : hence $\alpha < \beta$ in Λ implies that $n\alpha < \beta$ in $\mathbb{Z}\langle\Lambda\rangle$ for every integer n .

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- Denote by F the Abelian ℓ -group defined by generators a and b subjected to the relations $a \geq 0$ and $b \geq 0$.

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- The counterexample is the **lexicographical product**

$$G \stackrel{\text{def}}{=} \mathbb{Z}\langle\omega_1^{\text{op}}\rangle \times_{\text{lex}} F:$$

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$$G \stackrel{\text{def}}{=} \mathbb{Z}\langle\omega_1^{\text{op}}\rangle \times_{\text{lex}} F:$$
- $\text{Spec}_\ell G$ cannot be embedded, as a spectral subspace, into the real spectrum of any commutative unital ring.

A counterexample witnessing $\mathbf{CN} \not\subseteq \mathbf{S}\ell$

- Start observing that any homomorphic image of the Stone dual of any $\text{Spec}_\ell G$ satisfies the following family of infinitary statements:

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- For any family $(a_i \mid i \in I)$, there are elements $c_{i,j}$ such that each $a_i = (a_i \wedge a_j) \vee c_{i,j}$, each $c_{i,j} \wedge c_{j,i} = 0$, and each $c_{i,k} \leq c_{i,j} \vee c_{j,k}$.

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- Consider the variety \mathcal{V} , in the similarity type $(0, 1, \vee, \wedge, \searrow)$, whose identities are those of bounded distributive lattices, together with the additional identities

$$x = (x \wedge y) \vee (x \searrow y); \quad (x \searrow y) \wedge (y \searrow x) = 0.$$

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- It works because of **Kuratowski's Free Set Theorem**.

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Thanks for your attention!