

Anti-elementarity for ranges of functors

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Main references

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- 1 P. Gillibert and F. Wehrung, *From Objects to Diagrams for Ranges of Functors*, Lecture Notes in Mathematics, vol. 2029, Springer, Heidelberg, 2011.
- 2 F. Wehrung, *From non-commutative diagrams to anti-elementary classes*, hal-02000602, preprint, 2019

General problem

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- We are given **categories** \mathcal{A} and \mathcal{B} , together with a **functor** $\Phi: \mathcal{A} \rightarrow \mathcal{B}$.

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- We wish to “describe” the **range** of Φ (i.e., $\text{rng } \Phi \stackrel{\text{def}}{=} \{B \mid (\exists A)(B \cong \Phi(A))\}$).

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- Is $\text{rng } \Phi$ “tractable”?

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- Tractability usually understood in **logical sense**: typically, describability *via* a class of (possibly infinitary) first-order sentences.

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- Is $\text{rng } \Phi$ “tractable”?
- Tractability usually understood in **logical sense**: typically, describability *via* a class of (possibly infinitary) first-order sentences.
- We show how to prove that many “natural” functor ranges are intractable in the above sense.

Examples illustrating tractability

- Torsion-free groups are tractable within groups:

$$(\forall x)(x^n = 1 \Rightarrow x = 1), \quad n = 1, 2, 3, \dots$$

(describability by a **first-order theory**).

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- Torsion groups are also tractable within groups:

$$(\forall x) \bigvee_{n \in \mathbb{N}} (x^n = 1)$$

(describability by a single **$\mathcal{L}_{\omega_1\omega}$ sentence**).

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- Refinement monoids are tractable within commutative monoids (again via a single **first-order** sentence):

$$\begin{aligned} (\forall a_0, a_1, b_0, b_1) (a_0 + a_1 = b_0 + b_1 \Rightarrow (\exists c_{00}, c_{01}, c_{10}, c_{11}) \\ (a_0 = c_{00} + c_{01} \ \& \ a_1 = c_{10} + c_{11} \ \& \\ b_0 = c_{00} + c_{10} \ \& \ b_1 = c_{01} + c_{11})) . \end{aligned}$$

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Infinitary languages

- Let Σ be a first-order language: collection of symbols of relations and functions, each given with an **arity** (possibly 0 for functions). *Example:* $(\underset{(0)}{0}, \underset{(0)}{1}, \underset{(2)}{+}, \underset{(2)}{\cdot}, \underset{(2)}{\leq})$ (“language of partially ordered unital rings”).

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- **terms** are formal compositions of function symbols of Σ , evaluated at variables (e.g., $x + 1$, $x \cdot y$, and so on).

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- **Atomic formulas** are the $R\vec{t}$, where R is a relation symbol of Σ and \vec{t} is a sequence of terms with length the arity of R , or $s = t$ for terms s and t .

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- **First-order formulas** are obtained by closing atomic formulas under finite conjunctions / disjunctions, negations, and \exists / \forall quantifiers.

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- **First-order formulas** are obtained by closing atomic formulas under finite conjunctions / disjunctions, negations, and \exists / \forall quantifiers.
- For infinite cardinals with $\kappa \geq \lambda$, $\mathcal{L}_{\kappa\lambda}$ is defined similarly, with **conjunctions / disjunctions** of **less than κ** formulas and **quantifiers** over strings of **less than λ** variables.

Examples

- **Finiteness** can be expressed by a single $\mathcal{L}_{\omega_1\omega}$ sentence:

$$\bigvee_{n < \omega} \left(\exists (x_i)_{i < n} \right) (\forall x) \bigwedge_{i < n} (x = x_i).$$

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- **Countability** can be expressed by a single $\mathcal{L}_{\omega_1\omega_1}$ sentence:

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- Similar for **well-foundedness**:

$$\left(\forall (x_i)_{i < \omega} \right) \left(\bigwedge_{i < \omega} (x_{i+1} \leq x_i) \Rightarrow \bigvee_{i < \omega} (x_{i+1} = x_i) \right).$$

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- **Archimedean property** (for partially ordered Abelian groups) can be expressed by an $\mathcal{L}_{\omega_1\omega}$ sentence:

$$(\forall x, y) \left(\bigwedge_{n < \omega} (nx \leq y) \Rightarrow x \leq 0 \right).$$

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A categorical statement implying elementarity

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- For any set Ω , $\mathfrak{P}_{\text{inj}}(\Omega)$ denotes the category of all **subsets** of Ω with **one-to-one functions**.

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- For any set Ω , $\mathfrak{P}_{\text{inj}}(\Omega)$ denotes the category of all **subsets** of Ω with **one-to-one functions**.
- For any first-order language Σ , **Str** Σ denotes the class of all Σ -structures.

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- A map $f: A \rightarrow B$ between Σ -structures is an **$\mathcal{L}_{\infty\lambda}$ -elementary embedding** if $A \models \varphi(\vec{a}) \Leftrightarrow B \models \varphi(f\vec{a})$ whenever $\varphi \in \mathcal{L}_{\infty\lambda}$ and \vec{a} is a list of parameters from A .

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Proposition (W 2019)

Let λ be an infinite regular cardinal, let Σ be a first-order language, let Ω be a set, and let $\Gamma: \mathfrak{P}_{\text{inj}}(\Omega) \rightarrow \mathbf{Str} \Sigma$ be a **λ -continuous** functor. Then for every $f: X \rightarrow Y$ in $\mathfrak{P}_{\text{inj}}(\Omega)$ with $\text{card } X \geq \lambda$, $\Gamma(f)$ is an **$\mathcal{L}_{\infty\lambda}$ -elementary embedding** from $\Gamma(X)$ into $\Gamma(Y)$.

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Definition

A class \mathcal{C} of objects, in a category \mathcal{S} , is **anti-elementary** if there are arbitrarily large cardinals $\lambda < \kappa$ with λ -continuous functors $\Gamma: \mathfrak{B}_{\text{inj}}(\kappa) \rightarrow \mathcal{S}$ such that $\Gamma(\lambda) \in \mathcal{C}$ and $\Gamma(\kappa) \notin \mathcal{C}$.

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- If \mathcal{S} consists of Σ -structures, then, by the Proposition above, $\Gamma(\lambda)$ is an $\mathcal{L}_{\infty\lambda}$ -elementary submodel of $\Gamma(\kappa)$.

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- If \mathcal{S} consists of Σ -structures, then, by the Proposition above, $\Gamma(\lambda)$ is an $\mathcal{L}_{\infty\lambda}$ -elementary submodel of $\Gamma(\kappa)$.
- In particular, \mathcal{C} is **not closed under $\mathcal{L}_{\infty\lambda}$ -elementary equivalence**;

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- **We shall outline a method making it possible to establish anti-elementarity for many classes.**

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- **We shall outline a method making it possible to establish anti-elementarity for many classes.** Those classes will always be **ranges of functors**.

A few useful categories

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- $\mathbf{DLat}_0 \stackrel{\text{def}}{=} \text{category of all distributive lattices with zero, with 0-lattice homomorphisms.}$

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- $\mathbf{SLat}_0 \stackrel{\text{def}}{=} \text{category of all } (\vee, 0)\text{-semilattices, with } (\vee, 0)\text{-homomorphisms.}$

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- **SLat**₀ $\stackrel{\text{def}}{=}$ category of all $(\vee, 0)$ -semilattices, with $(\vee, 0)$ -homomorphisms.
- **CMon** $\stackrel{\text{def}}{=}$ category of all commutative monoids with monoid homomorphisms.

Functors for which the method works

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Theorem (W 2019)

The ranges of the following functors are all anti-elementary:

- 1 $Cs_c: \mathcal{G} \rightarrow \mathbf{DLat}_0$, $G \mapsto$ lattice of all order-convex ℓ -subgroups of the ℓ -group G ; for any class \mathcal{G} of ℓ -groups containing all **Archimedean** ones.
- 2 $Id_c: \mathcal{R} \rightarrow \mathbf{SLat}_0$, $R \mapsto$ semilattice of all finitely generated two-sided ideals of R , for many classes \mathcal{R} of unital rings, including all **unital regular rings** and all **unital rings**.
- 3 $V: \mathcal{R} \rightarrow \mathbf{CMon}$, $R \mapsto$ nonstable K_0 -theory $V(R)$ of R , for many classes \mathcal{R} of unital rings, including all **unital regular rings** and all **C^* -algebras of real rank zero**.

General (categorical) method

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- We are given a functor $\Phi: \mathcal{A} \rightarrow \mathcal{B}$. We want to prove that the range of Φ is **anti-elementary**.

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- We are given a functor $\Phi: \mathcal{A} \rightarrow \mathcal{B}$. We want to prove that the range of Φ is **anti-elementary**.
- We assume that there are a poset P of a certain kind (typically a **finite lattice**) and a (**necessarily non-commutative**) P -indexed diagram \vec{A} in \mathcal{A} , such that

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 - 1 $\Phi\vec{A}^I$ (now a P^I -indexed diagram) is a commutative diagram for **every set** I (we say that \vec{A} is **Φ -commutative**);
 - 2 There is **no commutative** P -indexed diagram \vec{X} in \mathcal{A} such that $\Phi\vec{A} \cong \Phi\vec{X}$.

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Theorem (W 2019)

Under **quite general conditions**, the above implies that the range of Φ is **anti-elementary**.

Outline of the construction

- We are given the poset P (say a lattice with 0) and the non-commutative diagram \vec{A} as above.

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Outline of the construction

- We are given the poset P (say a lattice with 0) and the non-commutative diagram \vec{A} as above.
- For any large enough infinite regular cardinal λ , we need to find a cardinal $\kappa > \lambda$ and a λ -continuous functor $\Gamma: \mathfrak{P}_{\text{inj}}(\kappa) \rightarrow \mathcal{B}$ such that $\Gamma(\lambda) \in \text{rng } \Phi$ and $\Gamma(\kappa) \notin \text{rng } \Phi$.

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- There is an explicit description of that functor Γ , namely $\Gamma(U) \stackrel{\text{def}}{=} \mathbf{F}(P\langle U \rangle) \otimes_{\Phi}^{\lambda} \vec{A}$ for every set U .

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- We are given the poset P (say a lattice with 0) and the non-commutative diagram \vec{A} as above.
- For any large enough infinite regular cardinal λ , we need to find a cardinal $\kappa > \lambda$ and a λ -continuous functor $\Gamma: \mathfrak{P}_{\text{inj}}(\kappa) \rightarrow \mathcal{B}$ such that $\Gamma(\lambda) \in \text{rng } \Phi$ and $\Gamma(\kappa) \notin \text{rng } \Phi$.
- There is an explicit description of that functor Γ , namely $\Gamma(U) \stackrel{\text{def}}{=} \mathbf{F}(P\langle U \rangle) \otimes_{\Phi}^{\lambda} \vec{A}$ for every set U .
- Easy part of that description:

$$P\langle U \rangle \stackrel{\text{def}}{=} \left\{ (a, x) \mid a \in P, x: X \rightarrow U, X \text{ finite}, a = \bigvee X \right\}$$

with $(a, x) \leq (b, y)$ iff $a \leq b$ and y extends x , and additional map $\partial: P\langle U \rangle \rightarrow P, (a, x) \mapsto a$.

Outline of the construction

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with $(a, x) \leq (b, y)$ iff $a \leq b$ and y extends x , and additional map $\partial: P\langle U \rangle \rightarrow P$, $(a, x) \mapsto a$.

- Owing to an additional property of $P\langle U \rangle$ (we say that it is a “pseudo join-semilattice”), we say that $(P\langle U \rangle, \partial)$ is said to be a **norm-covering of P**

P -scaled Boolean algebras

- For a **norm-covering** $\partial: X \rightarrow P$ (i.e., a pseudo join-semilattice X together with an order-preserving map $\partial: X \rightarrow P$), construct a structure $\mathbf{F}(X)$.

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- Formally, a structure $\mathbf{B} = (B, (B^{(p)} \mid p \in P))$ is a **P -scaled Boolean algebra** if B is a Boolean algebra, each $B^{(p)}$ is an ideal of B , $1 \in \bigvee_{p \in P} B^{(p)}$, and for all $p, q \in P$, $B^{(p)} \cap B^{(q)} = \bigvee_{r \geq p, q} B^{(r)}$

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- The category \mathbf{Bool}_P , of all P -scaled Boolean algebras with morphisms defined as Boolean algebra homomorphisms $\varphi: A \rightarrow B$ with each $\varphi[A^{(p)}] \subseteq B^{(p)}$, is **ω -accessible**.

$F(X)$ in more detail

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- **Norm-covering:** X is a “pseudo join-semilattice” and $\partial: X \rightarrow P$ is order-preserving.

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- **Norm-covering:** X is a “pseudo join-semilattice” and $\partial: X \rightarrow P$ is order-preserving.
- $F(X)$ is the Boolean algebra defined by generators \tilde{u} , for $u \in X$, and relations $1 = \bigvee_{w \in X} \tilde{w}$, $\tilde{u} \wedge \tilde{v} = \bigvee_{w \geq u, v} \tilde{w}$; those are finite joins, because X is a pseudo join-semilattice.

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- For each $p \in P$, $F(X)^{(p)}$ is the ideal of $F(X)$ generated by $\{\tilde{u} \mid p \leq \partial u\}$.

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- For each $p \in P$, $F(X)^{(p)}$ is the ideal of $F(X)$ generated by $\{\tilde{u} \mid p \leq \partial u\}$.
- Then $\mathbf{F}(X) \stackrel{\text{def}}{=} (F(X), (F(X)^{(p)} \mid p \in P))$ is a P -scaled Boolean algebra.

Box condensates: $A \boxtimes \vec{S}$

- For simplicity's sake, suppose that P is a **finite poset**.

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- Let $\mathbf{A} = (A, (A^{(p)} \mid p \in P))$ be a P -scaled Boolean algebra. For any $\mathfrak{a} \in \text{Ult } A$ ($:=$ ultrafilter space of A), there is a largest $p \in P$ such that $\mathfrak{a} \cap A^{(p)} \neq \emptyset$; denote it by $|\mathfrak{a}|_{\mathbf{A}}$.

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- For any family $\vec{S} = (S_p \mid p \in P)$ in a category \mathcal{S} , **with enough products**, we set

$$\mathbf{A} \boxtimes \vec{S} \stackrel{\text{def}}{=} \prod (S_{|\mathfrak{a}|_{\mathbf{A}}} \mid \mathfrak{a} \in \text{Ult } A) \quad (\text{a } \mathbf{box\ condensate} \text{ of } \vec{S}).$$

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- **Can we extend this** to a **functor** $- \boxtimes \vec{S}$ (in case \vec{S} is a **diagram** — so there are transition morphisms $S_p \rightarrow S_q$)?

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- **Can we extend this** to a **functor** $- \boxtimes \vec{S}$ (in case \vec{S} is a **diagram** — so there are transition morphisms $S_p \rightarrow S_q$)?
- **The problem is that for our needs, the diagram \vec{S} may not be commutative:** that is, $\vec{S}(p, q)$ may not be a singleton (for $p \leq q$ in P).

Condensates: $A \otimes_{\Phi}^{\lambda} \vec{S}$

- Now we fix a category \mathcal{T} and a functor $\Phi: \mathcal{S} \rightarrow \mathcal{T}$.

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- For a morphism $\varphi: \mathbf{A} \rightarrow \mathbf{B}$ in \mathbf{Bool}_P , $\varphi \boxtimes \vec{S}$ can be defined as a nonempty set of morphisms $\mathbf{A} \boxtimes \vec{S} \rightarrow \mathbf{B} \boxtimes \vec{S}$ (not necessarily a singleton).

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- However, since \vec{S} is Φ -commutative, $\Phi(\varphi \boxtimes \vec{S})$ is a singleton.

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- In general, we complete under λ -directed colimits:
$$\mathbf{A} \otimes_{\Phi}^{\lambda} \vec{S} = \varinjlim (\mathbf{U} \otimes_{\Phi}^{\lambda} \vec{S} \mid \mathbf{U} \leq \mathbf{A} \text{ } \lambda\text{-small}).$$

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- Now back to the functor Γ (with λ a given infinite regular cardinal).

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The Boosting Lemma (W 2019)

Under quite general conditions, $\Gamma(\lambda) \in \text{rng } \Phi$ as well.

The Armature Lemma and CLL

By using (Ramsey-like) **infinite combinatorial properties** of the poset P , we can extend Gillibert and Wehrung's original **Armature Lemma** and **CLL**, thus obtaining:

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Theorem (W 2019)

Under quite general conditions and **if P is a finite lattice**, there exists $\kappa > \lambda$ such that $\Gamma(\kappa) \notin \text{rng } \Phi$. In particular, $\text{rng } \Phi$ is **anti-elementary**.

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- If P has **order-dimension** n and $\lambda = \aleph_\alpha$, then one can take $\kappa = \aleph_{\alpha+n-1}$.

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- If P has **order-dimension** n and $\lambda = \aleph_\alpha$, then one can take $\kappa = \aleph_{\alpha+n-1}$.
- For most examples under discussion,
 $P = \mathfrak{P}[3] = \{\emptyset, 1, 2, 3, 12, 13, 23, 123\}$.

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By using (Ramsey-like) **infinite combinatorial properties** of the poset P , we can extend Gillibert and Wehrung's original **Armature Lemma** and **CLL**, thus obtaining:

Theorem (W 2019)

Under quite general conditions and **if P is a finite lattice**, there exists $\kappa > \lambda$ such that $\Gamma(\kappa) \notin \text{rng } \Phi$. In particular, $\text{rng } \Phi$ is **anti-elementary**.

- If P has **order-dimension** n and $\lambda = \aleph_\alpha$, then one can take $\kappa = \aleph_{\alpha+n-1}$.
- For most examples under discussion,
 $P = \mathfrak{P}[3] = \{\emptyset, 1, 2, 3, 12, 13, 23, 123\}$.
- It has order-dimension 3, thus one can take $\kappa = \aleph_{\alpha+2}$.

The functor V

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- For any ring R , $V(R)$ (“nonstable K_0 -theory of R ”) is the set of Murray - von Neumann equivalence classes of all idempotent matrices over R , with addition defined by

$$[a] + [b] \stackrel{\text{def}}{=} \left[\begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} \right].$$

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($\mathbf{x} + \mathbf{y} = 0 \Rightarrow \mathbf{x} = \mathbf{y} = 0$) as a rule.

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- It is a commutative monoid, **conical** ($\mathbf{x} + \mathbf{y} = 0 \Rightarrow \mathbf{x} = \mathbf{y} = 0$) as a rule.
- V extends naturally to a **functor**, from the category of all rings with ring homomorphisms to the category **CMon** of all commutative monoids with monoid homomorphisms.

The diagrams \vec{D} and $\vec{R}_{\mathbb{k}}$

- On \mathbb{Z}^+ : $\mathbf{e}(x) \stackrel{\text{def}}{=} (x, x)$, $\mathbf{s}(x, y) \stackrel{\text{def}}{=} (y, x)$, $\mathbf{p}(x, y) \stackrel{\text{def}}{=} x + y$.

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- On any field \mathbb{k} : $\mathbf{e}(x) \stackrel{\text{def}}{=} (x, x)$, $\mathbf{s}(x, y) \stackrel{\text{def}}{=} (y, x)$,
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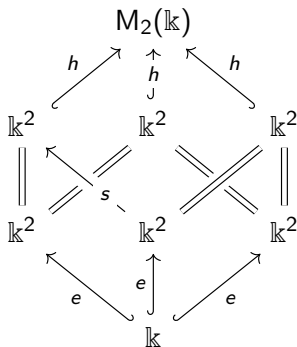
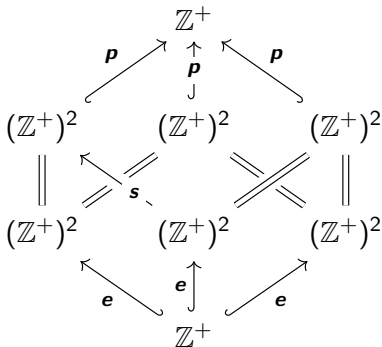
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Basic properties of \vec{D} and $\vec{R}_{\mathbb{k}}$

- \vec{D} is a commutative diagram of commutative monoids with order-unit (use canonical units: 1 for \mathbb{Z}^+ , $(1, 1)$ for $(\mathbb{Z}^+)^2$).

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- **There is no commutative diagram R , of V-semiprimitive rings, such that $V(\vec{R}) \cong V(\vec{R}_{\mathbb{k}})$ (W 2013).**

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Anti-elementarity for the functor V

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Denote by **Ring** the category of all unital rings and unital ring homomorphisms.

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Theorem (W 2019)

Let \mathbb{k} be a field and let \mathcal{R} be a subcategory of **Ring** such that

- 1 All objects and arrows of $\vec{R}_{\mathbb{k}}$ belong to \mathcal{R} ;
- 2 Every ring in \mathcal{R} is V -semiprimitive;
- 3 \mathcal{R} is closed under products within **Ring**;
- 4 for all large enough regular cardinals λ , \mathcal{R} has all λ -directed colimits and V preserves those.

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Then $V(\mathcal{R})$ is anti-elementary.

Anti-elementarity for rings (cont'd)

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- In particular, V (von Neumann regular rings), V (unit-regular rings), V (C^* -algebras of real rank zero) are all **anti-elementary**.

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Anti-elementarity for rings (cont'd)

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- In particular, V (von Neumann regular rings), V (unit-regular rings), V (C^* -algebras of real rank zero) are all **anti-elementary**.
- For a field \mathbb{k} , the category $\mathbf{LocMat}_{\mathbb{k}}$ of all locally matricial \mathbb{k} -algebras **is not closed under countable products** (within \mathbf{Ring}).

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- Hence, the result above does not apply to $\mathbf{LocMat}_{\mathbb{k}}$ *a priori*.
- We still know that $V(\mathbf{LocMat}_{\mathbb{k}})$ is not closed under elementary extensions (thus not first-order; combine Elliott 1976 and W 1998).